How Can Comorbidity With Attention-Deficit/Hyperactivity Disorder Aid Understanding of Language and Speech Disorders?

J. Bruce Tomblin and Kathyrn L. Mueller

This article provides a background for the topic of comorbidity of attention-deficit/hyperactivity disorder and spoken and written language and speech disorders that extends through this issue of *Topics in Language Disorders*. Comorbidity is common within developmental disorders and may be explained by many possible reasons. Some of these can be viewed as artifacts as simple as chance occurrence or because of the way that the research participants were sampled. If these artifacts are eliminated, then comorbidity can be informative with respect to possible causes of the disorders that are comorbid. Several possible etiologic models are presented along with a general framework for considering levels of causality in developmental disorders.

Many speech–language clinicians working with children will experience a caseload in which children exhibit combinations of speech disorders (SD), language impairment (LI), and reading disorder (RD). Numerous studies have shown RD to be substantially elevated among children with LI (see, for instance: Beitchman, Wilson, Brownlie, Walters, & Lancee, 1996; Bishop & Adams, 1990; Catts, 1993; Catts, Fey, Tomblin, & Zhang, 2002; Silva, Williams, & McGee, 1987). Likewise, poor readers are likely to have poor language abilities (Bradley & Bryant, 1983; Catts, 1989; Lombardino, Riccio, Hynd, & Pinherio, 1997; Vellutino, Scanlon, Small, & Tanzman, 1991). Speech disorders and LI are found together (Beitchman, Nair, Clegg, & Patel, 1986; Shriberg, Tomblin, & McSweeney, 1999), as are SD and RD. It has recently been shown that co-occurrence of the latter two disorders is in fact due to the elevated rate of LI among children with SD, a finding that points to the complexity of the relationship between these disorders (Pennington & Bishop, 2009). Finally, it is not uncommon to find that children with LI, SD, or RD are also being treated for attention-deficit/hyperactivity disorder (ADHD; Baker & Cantwell, 1992; Beitchman, Nair, Clegg, Ferguson, & Patel, 1986; Benasich, Curtiss, & Tallal, 1993; Coster, Goorhuis-Brouwer, Nakken, & Spelberg, 1999; Lindsay, Dockerrell, & Strand, 2007; Noterdaeme & Amorosa, 1999; Tomblin, Zhang, & Buckwalter, 2000).

This phenomenon occurs so frequently it has led to a general acceptance of the
overlap between communication disorders and behavior disorders. In the health sciences, however, disease overlap within individuals, that is, *comorbidity*, has been explored for the insight it can yield into the underpinnings of disease states and, in turn, the validity of diagnostic systems. In the series of articles in this issue of *Topics in Language Disorders*, the authors argue that by examining patterns of comorbidity among ADHD, LI, RD, and SD, as well as the basis for these patterns, researchers and clinicians can gain a deeper understanding of these disorders. In particular, it forces us to think about the relationships between features that exist at the symptom level—that which we term the *phenotype*—and the underlying systems that are associated with, or perhaps even causal for these symptoms. Figure 1 depicts this layered structure of causality for LI, SD, RD (which we collectively term *communication disorders*), and ADHD.

We can think of these causal systems on a continuum, ranging from those that are closest to the symptom level, down to those that are most distant. In a recent paper, we presented such a model for LI (Tomblin & Christiansen, 2009). The continuum of causal systems was conceptualized as a hierarchy of layers beginning with genetics (the most distal point) and ending in cognitive systems (the most proximate), with brain systems lying in between, and the environment acting upon these systems. Note that in our conceptualization, environmental factors could act on genes, brain systems, or cognitive systems. Figure 1 shows how the same underlying systems can provide an explanation, not only for the symptoms of one disorder, such as LI, but also for the comorbidity that exists between disorders.

This conceptualization of a continuum of systems is also found in genetics. In this case, the intermediate systems that link distal genetic factors to proximal phenotypic symptoms are termed *endophenotypes* (Gottesman & Gould, 2003). According to Gottesman and Gould, this term originated in a paper by John and Lewis (1966), and was first used to refer to the aspects of a phenotype that are not directly observable. This term later applied to psychiatric disease where endophenotypes were used to identify intermediate systems that were influenced by genes and giving rise to clinical phenotypes. Thus, in this context, an endophenotype is an intermediate phenotype that lies between the etiology of a clinical disorder and the genes that confer susceptibility to the condition. Because endophenotypes influence the phenotype, we also can consider them to be risk factors when the phenotype is viewed as a disease or health condition. In this regard, endophenotypes are a useful tool for understanding the etiology of complex disorders in which several risk genes and environmental risk factors influence the phenotype. It is quite reasonable to propose, therefore, that they could also be used to further our understanding of comorbidity. In fact, in cases when disorders share common symptoms, or phenotypes, it is arguable that they may provide a clearer picture of the basis of comorbidity than the symptoms themselves.

This type of thinking underlies much of the material in the collected articles in this issue of *Topics in Language Disorders* (Tomblin & Mueller, 2012). In adopting this approach, we are able to consider the multiple ways in which comorbidity can occur. We will consider the different ways in which the

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**Figure 1.** A hypothetical etiological scheme demonstrating shared etiologies at multiple levels resulting in comorbidity.
symptoms of comorbid disorders could be related, both between disorders and to underlying risk factors. To do this, we will present various models of comorbidity and evaluate these within the context of current research on LI, SD, RD, and ADHD.

MODELS OF COMORBIDITY

As we noted previously, the observation that LI, SD, RD, and ADHD cluster together is not unusual. Clinical conditions of all sorts tend to cluster together, or co-occur. Events that are unrelated also may happen together by chance. Take two unrelated events, the probability of rain and the probability of winning the lottery. These could occur with the probability of .15 and .005 respectively. The probability they will occur together is the product of these probabilities (.00075). Although highly unlikely, this example illustrates that not all instances of co-occurrence should be taken as evidence of some important relationship. Thus, given enough time, anything that can happen will happen. Certainly, this chance type of joint appearance is not what we wish to understand related to comorbidity of ADHD and communication disorders; therefore, we will be talking about the case of true comorbidity, in which there is a reason for this joint occurrence.

Even when joint occurrence is ruled out, there are many possible reasons for why this may be so, and each must be considered before drawing conclusions regarding comorbidity. Several papers on models of comorbidity have been published, each providing a rather lengthy list of the reasons why we might observe overlaps of (seemingly) distinct disorders (Caron & Rutter, 1991; Klein & Riso, 1993; Neale & Kendler, 1995). We have summarized these in eight models, as shown in Table 1.

The first two models represent biases in methodology, which result in a picture of disease overlap in a sample that is not an accurate representation of the overlap in the general population. Thus, these may be viewed as examples of erroneous patterns of comorbidity. Model 1 depicts the situation in which the study of comorbidity in patient populations leads to an overestimation of the relationship between disorders because children without disorders have not been factored into the model (i.e., we do not know what the rate is of individuals without either of the two conditions in the general population). This phenomenon is known as

Table 1. Models of comorbidity

<table>
<thead>
<tr>
<th>Model</th>
<th>Description</th>
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<tbody>
<tr>
<td>1</td>
<td>Sampling bias</td>
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<tr>
<td>2</td>
<td>Method bias</td>
</tr>
<tr>
<td>3</td>
<td>By-product</td>
</tr>
<tr>
<td>4</td>
<td>Three independent etiology</td>
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<tr>
<td>5</td>
<td>Alternate forms</td>
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<tr>
<td>6</td>
<td>Correlated liabilities</td>
</tr>
<tr>
<td>7</td>
<td>Reciprocal causation</td>
</tr>
<tr>
<td>8</td>
<td>Causal model</td>
</tr>
</tbody>
</table>
Berkson’s bias. For instance, if we were to examine the rate of LI and ADHD as seen in a clinic that largely enrolled children with RD or ADHD, we would lack an accurate estimate of the proportion of children in the population without either. This is shown in Table 2. We would argue that the Berkson bias underscores the importance of the control sample. Convenience samples of controls could either underestimate or overestimate the rate of RD shown in Table 2. Often a patient population without the primary disorder ADHD, but with some other condition, is used to serve as a control population. We know, however, that children with comorbid conditions are more likely to be clinically served and thus this practice would elevate the estimate of comorbidity and explains why clinicians frequently perceive the conditions they treat as comorbid. To avoid this bias, it is best to study comorbidity in whole population samples, as opposed to clinical ones.

A second kind of bias that can give a false impression of comorbidity may arise when methods employed in diagnosis overlap between disorders (Model 2). This may be symptom overlap: for example, depression, shyness, and anxiety are distinct disorders, but social reticence is a common feature to all. Failure to note this could result in an overestimation of the overlap between the disorders. Another example is when a common instrument is used in diagnosis of both disorders. Pennington, Willcutt, and Rhee (2005) describe the example of rater bias when the same rater (e.g., a parent) provides information that will be used in the diagnosis of ADHD and conduct disorder. Along with chance, Models 1 and 2 are said to be artifactual sources of comorbidity.

If the overlap between disorders is non-random, and cannot be attributed to biases in sampling or diagnostic criteria, this co-occurrence is considered to represent true comorbidity (Caron & Rutter, 1991; First, 2005). Models 3 through 8 in Table 1 are examples of this. Neale and Kendler (1995) have argued that the “co-occurrence of disorders [is] one of today’s most important areas for methodological and substantive research” (p. 935). The significance of this stems from the fact that the overlap of symptoms between disorders should provide a small set of possible explanations for the basis of comorbidity, many of which bear on the etiology and diagnostic classification of complex disorders. Models 3 through 8 can be further subdivided into two subtypes. The first are models that attribute the basis of comorbidity to the relationship of interactions between symptoms at the phenotype level and models that assume that the comorbid condition is a blend of the two rather than being a third distinct condition. The second subset includes models that attribute comorbidity to common etiology or risk factors that are somehow shared between the comorbid conditions.

An example of the first subgroup (symptom level) is seen in Model 3, where two conditions, each with its own separate etiology, are related because the symptoms of one condition increase the likelihood of symptoms in the other, as depicted in Figure 2A. In this model, the basis of the comorbidity is at the symptom level only. An example of this, which pertains to the study of ADHD, would be when poor communication abilities cause a child to be inattentive in the classroom. It is possible that the attention problems are symptomatic of ADHD and arise from a separate cause, but the communication disorder increase the risk for inattentive behaviors. In Model 4 (Figure 2B),

**Table 2.** A four-fold table used to compute comorbidity of RD in ADHD

<table>
<thead>
<tr>
<th>ADHD + (Case) ADHD − (Control)*</th>
<th>ADHD +</th>
<th>ADHD −</th>
<th>ADHD +</th>
<th>ADHD −</th>
</tr>
</thead>
<tbody>
<tr>
<td>LD +</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LD −</td>
<td></td>
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</tr>
</tbody>
</table>
| *The example depicts a situation in which children were sampled due to RD or ADHD and thus the condition ADHD − LD is unknown.

*Note: ADHD = attention-deficit/hyperactivity disorder; RD = reading disorder.
Figure 2. Models of comorbidity that arise from different configurations of etiologic sources. (A) A relationship in which the comorbidity is due to the influence of communication disorder (CD) on attention-deficit/hyperactivity disorder (ADHD). (B) A relationship in which the comorbid condition has a different etiology than the simple forms. (C) CD and ADHD have the same causes but a random or perhaps third factor determines whether it is realized as CD or ADHD. (D) CD and ADHD have different endophenotypes but these are themselves correlated.

The combined form of ADHD and CD has an etiology that is distinct from either ADHD or the CD alone. This kind of presentation is common to syndromes, where the constellations of symptoms that define the disorder are shared by other disorders. For example, Usher syndrome shares features of sensorineural hearing loss (SNHL) and blindness common to other disorders of either blindness or SNHL, but the syndrome has a different etiology to disorders in which SNHL and blindness occur in isolation.

In contrast, Models 5 through 8 of comorbidity all involve shared etiology, or risk factors, as depicted in (Figure 2C and 2D). Model 5 is the simplest example; in this, ADHD and the CD essentially represent the same disorder, but other unknown or random factors tip the overall expression of symptoms so that, overall, the disorder appears as ADHD or the CD. Models 6, 7, and 8 (Figure 2D) assume that there are separate risk factors for ADHD and the communication disorder; however, the underlying endophenotypes for the disorders are either correlated or act directly upon one another, resulting in the co-occurrence of the symptoms.

As we examine these different models, we should begin to see how careful study of comorbidity can help us evaluate the validity of current diagnostic thinking. Although we frequently talk about a disorder as a distinct diagnosis (e.g., A or B), most of the time we are in fact only hypothesizing this. In some cases, it might be better to think of disorders A and B as basically one and the same, as is the case in Models 5 and 6. Models 3 and 4 (Figure 2A and 2B), on the contrary, posit a clear distinction between conditions. From this, it also should be evident why careful thought about the nature of comorbidity is an essential part of clinical research. In the pages that follow in this issue, authors examine the data on the comorbidity of ADHD with LI, SD, and RD, to determine how to best characterize these diagnostic categories.
Thinking about comorbidity makes it possible to see how it is that research on the etiology and risk factors of developmental disorders is an important part of understanding these disorders. The discovery that two disorders, each distinctly defined, in fact have shared risk factors has far-reaching implications for how researchers and clinicians should be conceptualizing developmental disorders. For example, there has been considerable controversy with regard to the relationship between specific language impairment (SLI) and autism spectrum disorders (ASD). Some researchers have hypothesized these two conditions are somewhat comorbid (Kjelgaard & Tager-Flusberg, 2001), probably within the framework of Models 5 through 7. Others (Whitehouse, Barry, & Bishop, 2007, 2008; Williams, Botting, & Boucher, 2008) have argued that comorbidity between these disorders follows the paradigm laid out in Model 3, wherein the presence of ASD results in SLI-like symptoms. Note that the issue here is really whether there are shared etiology or risk factors common to ASD and SLI. Most of the data brought into this debate, thus far, is at the symptom level. More recently, however, a series of studies have shown that genetic variation in a single gene (CNTNAP2) is a risk factor for both ASD (Alarcon et al., 2008; Arking et al., 2008; Bakkaloglu et al., 2008) and SLI (Vernes et al., 2008). These findings need to be replicated, but if they hold, they provide evidence that any model of comorbidity in ASD and SLI must assume a shared risk/etiology (i.e., Models 5, 6, or 7). Most importantly, these data show how efforts to examine comorbidity and, in particular, the use of genetics in this effort, should aid our understanding of the nature of developmental disorders in general, and developmental communication disorders in particular.

WAYS THAT GENETIC RESEARCH METHODS CAN AID THE STUDY OF COMORBIDITY

In the different models of comorbidity discussed above, the notion of risk factor was treated in a generic fashion. In Figure 1, we showed a multilevel perspective on the etiologies of developmental disorders, and from this, we can see that a risk factor that could be contributing to comorbidity can be studied and understood at these different levels. In the past 20 years, the level of genetics has been a point of focus for the study of comorbidity of complex behavioral disorders. There are no doubt many reasons for this; however, we believe that there is merit in this largely because genetic influence must flow upward through the higher levels of the causal system. However, we also know that the higher levels in this system can flow downward to influence the expression of genetic information. For instance, neural activity at a synapse can result in a cascade of molecular messages that move to the cell nucleus and trigger expression of genes that code for proteins important for learning (Naeve et al., 1997).

In this regard, we can move from higher levels of the system to generate hypotheses about genetics, or we can move from genetics to generate hypotheses regarding the pathways of genetic influence. We are not assuming that genes are particularly privileged in this account, and, in fact, as with any scientific account, we assume that the value in understanding a complex system as depicted in Figure 1 will come from understanding the interactions within and across the levels. In this regard, when we begin to study the genetic contribution to a phenotype, we cannot limit ourselves to DNA alone. Any genetic account will quickly take the investigator through the whole system. Genetics does offer a point of entry into this system that comes with a rapidly advancing scientific knowledge base, as well as methods of inquiry that improve by the year. With this growth, comes an ever-increasing understanding of the complexity of even this one level.

In the papers collected in this issue of Topics in Language Disorders, genetic research is discussed as it has been used to understand the comorbidity of speech, language, and reading disorders and ADHD. Each of these conditions has been shown to be
heritable (genetically influenced). When two comorbid conditions are both heritable, one can begin to ask whether the two disorders share common genetic risk factors. Indeed, we believe that asking this question can lead to better understanding of each disorder, as well as the basis for the comorbidity. In asking this question, we need to place it into a context of contemporary genetic research.

The naive view of genetics is that, as the name implies, genes are the ultimate cause of development. That is, that many things about people as individuals begin with the genes that they inherited from their parents. Furthermore, the naive view is that when scientists finally understand genetics, they will have produced a dictionary that lists all genes and the traits that they control. Thus, there will be a tidy description that says that gene X is responsible for trait X. For example, the androgen receptor gene (AR) is associated with male pattern baldness. If you have one form of this gene and are male, you will very likely have a pattern of hair loss in adulthood. So we could say that male pattern baldness is the phenotype and the AR gene could be thought of as a gene for male pattern baldness. This is often how genes are characterized and it makes for easy communication, but this simplistic account contains some traps that are misleading.

An important way researchers and clinicians are misled concerns how this view treats genetic effects. The simple account of male pattern baldness just described suggests that, for each phenotype, one could expect to find a responsible gene. In fact, there are a number of examples of this rather simple relationship that can be described as single gene effects. However, even in cases such as the AR gene, this gene does not just influence baldness; but a number of other traits as well. The observation that genes can influence more than one trait is captured in the term pleiotropy. In fact, pleiotropy at some level is a rule in genetics (Stearns, 2010). Genes are reused for a variety of phenotypic effects even when the phenotypes are relatively simple, such as in the case of hair loss. Pleiotropy is an essential way that a rather limited information system such as the DNA code can result in a vast and complex array of biologic form and function. Thus, given the ubiquity of pleiotropy, we should not be surprised to see shared genetic influence across what appear to be different phenotypes or different disorders, thus resulting in patterns of phenotypic correlations that could be viewed as comorbidity.

Pleiotropy reflects a one-to-many relationship between a single gene and multiple phenotypes. There other ways in which to observe shared genetic influence on phenotypes. The kinds of phenotypes we are interested in, such as speech, language, and reading disorders or ADHD, must involve complex neurobiological processes that entail many genetically influenced activities. Thus, we have to consider that these traits arise from the influence of many genes. Such traits are described as complex or polygenic, and these can be described as having a many-to-one relationship between the phenotype and the underlying genes (see Figure 1). Let us assume that the phenotype in this case is a person’s height as an adult. We just noted that pleiotropy is essentially a universal feature of genetics and, therefore, these many-to-one relationships are likely to form many-to-many relationships. These would quite easily allow for phenotypes to be correlated, resulting in patterns of comorbidity, but at the same time allowing partial overlap.

The many-to-many relationships between genes and phenotypes provide for considerable opportunities for comorbidity, but there is also another important source of complexity in genetics that could be the basis for phenotypic overlap. Most genes code for amino acids that form proteins. A common form of genetic variation is for the amino acid sequence coded by the gene to vary with respect to one or more amino acids. This difference in amino acid content can, in some cases, result in the protein functioning differently. We can think of this as genetic variation that results in different flavors of a kind of protein. Some of these proteins result in cellular and extracellular structure and function.
(making muscles, bones, etc.). The AR gene mentioned earlier is of this type. Another subset of these proteins returns to the nucleus and acts on other genes by controlling their expression. This kind of action is a regulatory action, and the genes that produce these proteins are called regulatory genes. The manner in which these regulatory mechanisms work with regard to the phenotype can be more subtle than the structural genes, in that these regulatory genes can control the amount and timing of gene expression of the structural genes that they influence. This gene interaction is called epistasis.

We should see from this that, even when we limit ourselves to genetic risk factors, there are many ways in which phenotypes can be correlated. In fact, so long as the phenotypes are genetically influenced, it would be surprising to find phenotypes that are not comorbid to some degree. As the other papers in this issue of Topics in Language Disorders show, comorbidity is indeed more the rule than the exception with regard to common developmental disorders. By acknowledging this pattern of co-occurrence, we are acknowledging the complexity that exists both on the surface and among the underlying systems.

**CONCLUSIONS**

We have argued here that comorbidity has the potential to provide insights into the nature, and, in particular, the causal pathways that lead to developmental disorders. Among developmental disorders these pathways are often long and complex. This requires that those who attempt to understand developmental disorders need to respect this complexity, but at the same time, not be daunted by it. By exploiting the pervasive comorbidity among developmental disorders, researchers are able to capitalize on and extend progress in research on one disorder to those that are comorbid with it. It is this promise that underlies the thinking in the collected papers within this topical issue on “Exploration of the Comorbidity of Attention-Deficit/Hyperactivity Disorder and Speech–Language Learning Disorders” (Tomblin & Mueller, 2012).

**REFERENCES**


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